

## Audits & Reviews

Comprehensive due diligence reviews and internal audit support, highlighting a financial institution's regulatory strengths and weaknesses.

- Experience
- Expertise
- References
- On-site or Off-site
- Methodology Criteria
- Preferred Scheduling

Comprehensive, on-going, hands-on, responsive review of third party originators.

Reviews provide support through a professional team of experienced compliance professionals.

Our professionals have an average of 25 years in mortgage banking, consisting of compliance professionals, attorneys, former regulators, and subject matter experts, who have created and implemented successful compliance programs. We are actively engaged in monitoring ever-changing laws and regulations that affect the industry.

Lenders Compliance Group is the first, full-service mortgage risk management firm in the country, offering hands-on compliance solutions in virtually all areas of mortgage banking.



## Lenders Compliance Group

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# AUDITS & REVIEWS

Cost-effective regulatory compliance audits and reviews!

## Compliance Risk Assessment

### Audits & Reviews

- Anti-Money Laundering – Testing
- CIP and OFAC
- Community Reinvestment Act
- Compliance Management System
- Consumer Claims and Defenses
- Consumer Protection Act
- Cybersecurity
- Credit Practices Rules
- Electronic Funds Transfer
- Equal Credit Opportunity Act
- E-Sign Act
- Expedited Funds Availability
- Fannie Mae Audit Preparation
- Fair Credit Reporting Act/FACT Act
- Fair Debt Collections
- Fair Housing Act
- Fair Lending
- FDIC Examination Preparation
- Flood Disaster Protection Act
- Freddie Mac Audit Preparation
- Garnishment of Accounts/Federal Benefits
- Ginnie Mae Audit Preparation
- Home Mortgage Disclosure Act
- Home Ownership and Equity Protection Act
- Homeowners Protection Act
- ID Theft Prevention Program
- Interest on Deposits
- Internal Audits
- MERSCORP® Standards
- Military Lending Act
- Mortgage Loan Officer Compensation
- Mortgage Acts and Practices – Advertising
- Mortgage Servicing and Subservicing
- NCUA Examination Preparation
- Non-Deposit Investment Products
- OCC Examination Preparation
- ODP Program Design, Policies and Procedures
- Platform Development
- Portfolio Products
- Predatory Lending
- Privacy of Consumer Financial Information
- Privacy/GLBA Information Security
- Quality Control
- Real Estate Settlement Procedures Act
- Retail Investment and Insurance Sales
- Reverse Mortgages
- Risk Appetite
- SAFE Act and NMLS Compliance
- Servicemembers Civil Relief Act
- Telemarketing and Advertising
- TILA-RESPA Integration Disclosure (TRID)
- Truth in Lending Act
- Unfair, Deceptive and Abusive Acts or Practice
- Vendor Management/Third Party Risk