

Cyber Tune-up!™

OVERVIEW

Our exclusive focus is on the regulatory compliance issues affecting residential mortgage loan originations. We are actively engaged in monitoring the mortgage industry's ever-changing laws and regulations.

Through our guidance, both management and compliance personnel can be assured that they comply with the regulations, rules, and laws governing the origination of mortgage loan products.

- Retail
- Wholesale
- Correspondent
- Mini-Correspondent
- Investor
- Mortgage Servicer
- Mortgage Subservicer
- Bank
- Nonbank
- NCUA
- REIT

The first and only full-service mortgage risk management firm in the country.

Call for Compliance: 866-602-6660!

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Lenders Compliance Group®
Creating a Culture of Compliance®

www.lenderscompliancegroup.com

Cyber Tune-up!™
866-602-6660 x 450

compliance@lenderscompliancegroup.com

LENDERS COMPLIANCE GROUP®

CYBER TUNE-UP!™

The Pioneer in Compliance Tune-ups!

Cyber Tune-up!™

The National Institute of Standards and Technology defines cybersecurity as "the process of protecting information by preventing, detecting, and responding to attacks." As part of cybersecurity, institutions should consider management of internal and external threats and vulnerabilities to protect information assets and the supporting infrastructure from technology-based attacks.

The Cyber Tune-up!™ draws on the FFIEC Cybersecurity Assessment, Internet Technology, and Information Security protocols to review the following:

- Inherent Risk Profile, defined by FFIEC as how each activity, service, and product contribute to the institution's inherent risk and determine the institution's overall inherent risk profile and whether a specific category poses additional risk.
- Cybersecurity Maturity, defined by FFIEC as the determination of a financial institution's cybersecurity maturity levels across each of these five domains: Cyber Risk Management and Oversight; Threat Intelligence and Collaboration; Cybersecurity Controls; External Dependency Management; and Cyber Incident Management and Resilience.

Process Structure

- ✓ Entrance and Exit Interviews
- ✓ Questionnaire and Document Requests
- ✓ Assess presence of policies, procedures, and internal controls
- ✓ Review policies for relevant laws and regulations
- ✓ Review relevant system solutions
- ✓ System Configuration
- ✓ Preliminary Report – Evaluations and Recommendations
- ✓ Review Preliminary Report with Company
- ✓ Review Company's rebuttals and new information
- ✓ Final Report – Executive Summary with Risk Rating

Compliance Elements

Inherent Risk Profile

- Least Inherent Risk
- Minimal Inherent Risk
- Moderate Inherent Risk
- Significant Inherent Risk
- Most Inherent Risk

Cybersecurity Maturity

- Domain 1: Cyber Risk Management and Oversight
- Domain 2: Threat Intelligence and Collaboration
- Domain 3: Cybersecurity Controls
- Domain 4: External Dependency Management
- Domain 5: Cyber Incident Management and Resilience