

## COMPLIANCE TUNE-UP

### OVERVIEW

Our exclusive focus is on the regulatory compliance issues affecting residential mortgage loan originations. We are actively engaged in monitoring the mortgage industry's ever-changing laws and regulations.

Through our guidance, both management and compliance personnel can be assured that they comply with the regulations, rules, and laws governing the origination of mortgage loan products.

- Retail
- Wholesale
- Correspondent
- Mini-Correspondent
- Investor
- Mortgage Servicer
- Mortgage Subservicer
- Bank
- Nonbank
- NCUA
- REIT

The first and only full-service mortgage risk management firm in the country.

Call Compliance: 866-602-6660!

### AFFILIATES

[Lenders Compliance Group](#)

[Brokers Compliance Group](#)

[Servicers Compliance Group](#)

[Vendors Compliance Group](#)

[LCG Quality Control](#)



**Lenders Compliance Group®**  
*Creating a Culture of Compliance®*

[www.lenderscompliancegroup.com](http://www.lenderscompliancegroup.com)

COMPLIANCE TUNE-UP  
866-602-6660 x 450

[compliance@lenderscompliancegroup.com](mailto:compliance@lenderscompliancegroup.com)

# LENDERS COMPLIANCE GROUP®

*Creating a Culture of Compliance®*

## WHAT IS A COMPLIANCE TUNE-UP?

*The Pioneer in Compliance Tune-ups!*

### COMPLIANCE TUNE-UP

Lenders Compliance Group is the first and only risk management firm in the United States, offering compliance tune-ups! Indeed, this audit terminology itself – the “tune-up!” – is ours! We developed the Compliance Tune-up as a mini-audit to satisfy the monitoring needs of financial institutions for cost-effective and actionable compliance solutions.

The Compliance Tune-up is a valuable auditing tool, targeting reviews of products, services, statutory- and regulation-based procedures, irrespective of a company's asset size, volume, and type of consumer financial transactions, extent of state and federal oversight, overall complexity, and risk profile.

#### Features

- Quick, effective, focused, affordable, and results-oriented
- Covers virtually all areas of mortgage banking
- Subject Matter Experts at Director level
- Usually completed in sixty calendar days
- All documentation kept in secure, encrypted extranet
- Highly structured audit methodology

#### Compliance Tune-ups Favorites (Include)

- CMS Tune-up™ Compliance Management System
- Cyber Tune-up™ Cybersecurity
- ECOA Tune-up™ Equal Credit Opportunity Act
- Flood Tune-up™ Flood Insurance
- HR Tune-up™ Human Resources
- LOS Tune-up™ Loan Origination Systems
- MORA Tune-up™ Fannie MORA for Originators
- Policies Tune-up™ Policies & Procedures
- QC Tune-up™ Quality Control
- SAFE Tune-up™ S.A.F.E. / NMLS Compliance
- STAR Tune-up™ Fannie STAR for Servicers
- TPV Tune-up™ Third Party Vendors
- UDAAP Tune-up™ Unfair, Deceptive, or Abusive Acts or Practices
- Website Tune-up™ Website Compliance

#### Process Structure

- ✓ Entrance Interview
- ✓ Document Request Period
- ✓ Document Review Period
- ✓ Exit Interview
- ✓ Preliminary Report
- ✓ Rebuttal Period
- ✓ Final Review Period
- ✓ Final Report

Evaluate the strengths and weaknesses of your regulatory compliance!

Contact us to schedule a compliance tune-up!

MEMBER OF NATIONAL ORGANIZATIONS  
ABA | MBA | NAMB | AARMR | MISMO | ARMCP® | ALTA | IIA | MERS®