

## Policies and Procedures

Compliance Programs, Policy & Procedures, Manuals, Quality Control Plans, and Self-Assessments to manage the regulatory requirements associated with mortgage loan originations and servicing for banks and nonbanks.

Our policies include retail, wholesale, reverse, and servicing platforms.

Our professionals have an average of 25 years in the residential mortgage origination industry, consisting of compliance professionals, attorneys, former regulators, and subject matter experts, who have created and implemented successful compliance programs. We are actively engaged in monitoring ever-changing laws and regulations that affect the industry.

We are the first, full-service mortgage risk management firm in the country.



### Lenders Compliance Group

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Email Us!

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# Policies & Procedures

#### POLICIES AND PROCEDURES INCLUDE

Advertising & Telemarketing  
Anti-Money Laundering Program  
Anti-Predatory Lending  
Appraisal Standards and Independence  
Bank Secrecy Act (USAPA)  
CAN-SPAM Act  
Children's Online Privacy Protection Act  
Clean Desk  
Code of Conduct  
Collection (Interim and Full Servicing)  
Community Reinvestment Act  
Consumer Complaints and Resolution  
Consumer Information Protection  
Consumer Privacy  
Cybersecurity  
Electronic Fund Transfer Act  
Equal Credit Opportunity Act  
E-Sign Act  
Fair and Accurate Credit Transactions Act  
Fair Credit Reporting Act  
Fair Debt Collection Practices Act  
Fair Housing Act  
Fair Lending  
Flood Insurance  
Gifts, Meals, and Entertainment  
Governance  
Gramm-Leach-Bliley Act  
Higher-Priced Mortgage Loans  
Home Mortgage Disclosure Act  
Home Ownership and Equity Protection Act  
Homeownership Counseling  
Identity Theft Prevention Program  
Information Security & Internet Technology  
Loan Originator Compensation  
Military Lending Act  
Mortgage Fraud  
Mortgage Loan Originations  
Mortgage Servicing Compliance  
Nationwide Multistate Licensing System  
Out-Sourcing and Off-Shoring  
Quality Control Plans  
Record Retention  
Secure and Fair Enforcement for Mortgage Licensing Act  
Service Provider Management  
Servicemembers Civil Relief Act  
Social Media  
Subprime Lending  
TILA-RESPA Integrated Disclosure Rules  
Truth in Lending Act  
Unfair, Deceptive, or Abusive Acts or Practices  
USA Patriot Act  
Vendor Management  
Website Content and Management